



# EMMESSAR BIOTECH & NUTRITION LIMITED

29, Kamer Building, 4th floor, 38, Cawasji Patel Street, Fort, Mumbai – 400 001  
 Tel: 022-35661373 / 35664530 Email: [enquiries@ebnl.org](mailto:enquiries@ebnl.org) Website: [www.ebnl.org](http://www.ebnl.org)  
 Investors Grievance Email: [investors@ebnl.org](mailto:investors@ebnl.org) CIN No. L24110MH1992PLC065942

## **COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED MARCH 31, 2023.**

*(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)*

I, Priyanka O. Sharma, Company Secretary & Compliance Officer of the Company, have examined the following compliance requirement of Emmessar Biotech & Nutrition Limited (Company) and certify that the Company has maintained a Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations):

Sr. No.	Compliance Requirement	Yes/No	Observation/Remark
01.	Whether the Company has a Structured Digital Database in place?	Yes	The Company has its Structured Digital Database
02.	Whether control exists as to who can access the SDD for read / write along with the names and PAN of such person?	Yes	Absolute control exists as the Name and PAN of the persons who have access to the Structured Digital Database is captured in the system
03.	Whether all the UPSI have been captured in the Database. If not details of events that have not been captured and the reason for the same?	Yes	The Company has captured all the events of Unpublished Price Sensitive Information (UPSI)
04.	Whether the recipients were upfront informed that the information which they will be receiving shortly is UPSI and the entry has been captured in the Database prior to forwarding the UPSI data. If not details of events that have not been captured & reason for the same?	Yes	The recipients were upfront informed that the information is UPSI and the entry has been captured in the Database, prior to forwarding of the UPSI Data
05.	Whether nature of UPSI have been captured along with date & time?	Yes	We have captured the data with the date and time
06.	Whether name of persons who have shared the information has been captured along with PAN or any other identifier?	Yes	The name of persons who have shared the information has been captured along with the PAN
07.	Whether name of persons with whom information is shared has been captured along with PAN or any other identifier?	Yes	The name of persons with whom the information has been shared has been captured along with the PAN
08.	Whether the database has been maintained internally?	Yes	Database is maintained internally
09.	Whether audit trail is maintained?	Yes	The Company has maintained the Audit Trail
10.	Whether time stamping is maintained?	Yes	The Company has maintained the Time Stamping
11.	Whether the database is non-tamperable?	Yes	The Database is non-tamperable. Adequate measures are taken to protect the same with limited access to the designated people only
12.	Any other measures to ensure non-temperability of the Database	Yes	Access is only available with the designated officials. Hence, the Company ensures non-temperability of the data.

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**Note: The information of the audit should cover the period when such information was inserted in the SDD upto the date of disclosure.**

The number of days for which non-compliance was observed: NIL

Further, I also confirm that the Company was required to capture 1 number of events during the quarter ended March 31, 2023 and has captured 1 number of the said required events.

**For Emmessar Biotech & Nutrition Limited**



**Priyanka O. Sharma**  
**Company Secretary & Compliance Officer**  
**ICSI Membership No.: A52518**  
Encl: As above

Note: The attached certificate should cover events which have been disclosed by the listed entity to the stock exchange so that the secretarial auditor will not be exposed to UPSI.

Sr. No.	Regulation	Particulars	Submitted on
01	Reg. 9, 2015 SEBI PIT	Trading Window Closure	28-03-2023
02	Reg. 29(2)	Purchase of shares	11-04-2023
03	Reg. 27(2)	Corporate Governance	04-04-2023
04	Reg. 13(3)	Investors Grievance	01-04-2023
05	Reg. 74(5)	Confirmation Certificate by RTA	11-04-2023
06	Reg. 76	Reconciliation of Shae Capital Audit	12-04-2023
07	Reg. 31(i)(b)	Share Holding Pattern	13-04-2023
08	Reg. 30(1) & 30(2)	Continual Initial Disclosure	06-04-2023
09	Reg. 19	Initial Disclosure	05-04-2023
10	Reg. 3(5) & 3(6)	Structured Digital Database (SDD)	14-04-2023
11	Reg. 7(3)	Compliance Certificate from RTA	06-04-2023
12	Reg. 30/33	Outcome Of Board Meeting dt. 04-02-2023 Financial Result for quarter ended December 2022	04-02-2023
13	Reg. 25(3) & 25(4)	Outcome of Independent Director	01-04-2023
14	Reg. 29(1)	Board Meeting Intimation for Financial Result	09-01-2023
15	Reg. 40(9)	Certificate from PCS	11-04-2023
16	Reg. 31(4)	Promoter group Holding	06-04-2023

